



Patent Docket No. 5543P003

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

J.J. Garcia-Luna-Aceves, et al.

Application No.: 09/845,088

Filed: April 26, 2001

For: SYSTEM AND METHOD FOR  
CONTROLLING ACCESS TO  
CONTENT CARRIED IN A CACHING  
ARCHITECTURE

Examiner: Siddiqi, Mohammad A.

Art Unit: 2154

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 27, 2006

  
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Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor:	J.J. Garcia-Luna Aceves
Art Unit	2154
Examiner Name	Siddiqi, Mohammad A.
Attorney Docket Number	5543P003

Sheet 1 of 4

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
/M.S./  ↓  /M.S./		US-	5,088,032	2/11/1992	Bosack	
		US-	5,115,495	5/19/1992	Tsuchiya et al.	
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		US-	US 2002/0007413 A1	1/17/2002	Garcia-Luna-Aceves et al.	

## FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
/M.S./		WO	98/57275		12/17/1998	Kavak		
/M.S./		WO	99/40514		8/12/1999	Farber et al.		
/M.S./		WO	01/18641 A1		3/15/2001	McCanne et al.		

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/Mohammad Siddiqi/

Date Considered

06/23/2008

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/845,088	
				Filing Date	April 26, 2001	
				First Named Inventor:	J.J. Garcia-Luna Aceves	
				Art Unit	2154	
				Examiner Name	Siddiqi, Mohammad A.	
Attorney Docket Number	5543P003					
<b>Sheet</b>	2	<b>of</b>		4		
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		Number-Kind Code <sup>2</sup> (if known)				
/M.S./		US-	US 2002/0010737 A1	1/24/2002	Garcia-Luna-Aceves et al.	
		US-	US 2002-0016860 A1	2/7/2002	Garcia-Luna-Aceves et al.	
↓		US-	US 2003-0101278 A1	5/23/2003	Garcia-Luna-Aceves et al.	
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<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
/M.S./		Ari Luotonen, Henrik Frystyk Nielsen, and Tim Berners-Lee. Cern httpd. <a href="http://www.w3.org/Daemon">http://www.w3.org/Daemon</a> , July 1996			
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Sheet 4 of 4				Attorney Docket Number 5543P003	
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
/M.S./		Masato Oguchi, et al., "A Proposal for a World-Wide Web Caching Proxy Mechanism," XP000754599, Global Information Infrastructure (GII) Evolution: Interworking Issues, Interworking '96, Third International Symposium on Interworking, Nara (Japan), October 1-3, 1996, pp. 531-540 (October 1, 1996)			
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		Robert L. Carter, et al., "On the network impact of dynamic server selection," Computer Networks 31, pp. 2529-2558 (1999)			
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		J. Garcia-Luna-Aceves and M. Spohn, "Scalable Link-State Internet Routing," ICNP, Sixth International Conference on Network Protocols (ICNP'98), Austin, Texas, pp. 52-61 (October 14-16, 1998)			
		P. Mochapetris, "Domain Names - Concepts and Facilities," RFC 1034 (November 1987)			
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/M.S./		Stephen Kent and Randall Atkinson, "Security Architecture for the Internet Protocol," RFC 2401 (November 1998)			

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## WIPO ST.16 Kind Codes

## Kind of document

## Comments



A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
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